



Audit and Risk Management Committee

Date: TUESDAY, 15 OCTOBER 2013
Time: 1.45pm
Venue: COMMITTEE ROOM 2 - COMMITTEE ROOMS

Members: Jeremy Mayhew (Chairman)
Alderman Nick Anstee (Deputy Chairman)
Alderman Ian Luder
Nigel Challis
Hilary Daniels (External Member)
Revd Dr Martin Dudley
Deputy Robin Eve
Oliver Lodge
Kenneth Ludlam (External Member)
Caroline Mawhood (External Member)
Jeremy Simons
Ray Catt (Ex-Officio Member)
Roger Chadwick (Ex-Officio Member)
Hugh Morris (Ex-Officio Member)
Jamie Ingham Clark
Bennett

Enquiries: Julie Mayer
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Lunch will be served in the Guildhall Club at 1pm

John Barradell
Town Clerk and Chief Executive

AGENDA

Part 1 - Public Agenda

1. **APOLOGIES**
2. **DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**
3. **MINUTES OF THE PREVIOUS MEETING**
To agree the public minutes and non-public summary of the meeting held on 17 September 2013

For Decision
(Pages 1 - 4)
4. **OUTSTANDING ACTIONS OF THE COMMITTEE**
Report of the Town Clerk.

For Information
(Pages 5 - 6)
5. **STRATEGIC REVIEW 8 - MANAGING THE CITY OF LONDON CORPORATION'S REPUTATION**
Report of the Director of Public Relations

For Decision
(Pages 7 - 10)
6. **STRATEGIC REVIEW 10 - ADVERSE POLITICAL DEVELOPMENTS**
Report of the Remembrancer

For Decision
(Pages 11 - 22)
7. **AUDITED 2012/13 CITY'S CASH FINANCIAL STATEMENTS 2012/13 - SUBJECT TO AUDIT**
Report of the Chamberlain

For Decision
(Pages 23 - 96)
8. **INDEPENDENT REVIEW OF RISK MANAGEMENT**
Report of the Chamberlain

For Decision
(Pages 97 - 124)
9. **INTERNAL AUDIT PLANNING 2014/15**
Report of the Chamberlain

For Information
(Pages 125 - 142)

10. **INTERNAL AUDIT CHARTER**
Report of the Chamberlain

For Decision
(Pages 143 - 152)

11. **PLANNING GOVERNANCE REVIEW**
Report of the Town Clerk

(Pages 153 - 160)

12. **COMMITTEE WORK PROGRAMME**
Report of the Town Clerk.

For Information
(Pages 161 - 164)

13. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

For Decision

14. **ANY OTHER BUSINESS WHICH THE CHAIRMAN CONSIDERS URGENT**

For Decision

15. **EXCLUSION OF THE PUBLIC**

RESOLVED: That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

For Decision

Part 2 - Non-Public Agenda

16. **STRATEGIC RISK SR8 - MANAGING THE CITY OF LONDON CORPORATION'S REPUTATION**
Report of the Director of Public Relations

For Decision
(Pages 165 - 168)

17. **STRATEGIC RISK 10 - ADVERSE POLITICAL DEVELOPMENTS**
Report of the Remembrancer

For Decision
(Pages 169 - 176)

18. **UPDATE ON CROSSRAIL COMMITMENTS**

Report of the Chamberlain

For Information
(Pages 177 - 178)

19. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**

The Chairman has agreed to accept an urgent report of the Chamberlain

For Decision
(Pages 179 - 184)

20. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE WHILST THE PUBLIC ARE EXCLUDED**

For Decision

Part 3 - Confidential Agenda

21. **CONFIDENTIAL MINUTES OF THE PREVIOUS MEETING**

To agree the Confidential minutes of the meeting held on 17 September 2013

For Decision